



PATENT  
Attorney Docket No.: UK01-012 US  
MP\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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A3  
Applicant: Graeme D. Maxwell  
Serial No: 10/007,826  
Filed: November 09, 2001  
For: Alignment of Active Components with Waveguides

Group Art Unit: 2874  
Examiner:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

ATTN: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated January 24, 2002, Applicant submits a Declaration for filing in the above-identified application, the required surcharge of \$130.00, and a copy of the Notice to File Missing Parts.

Please associate the enclosed Declaration with the above-identified application. Also, please note that in the application as filed, the sole inventor was mistakenly identified as "Douglas Maxwell Graeme". However, his names had been inadvertently transposed. The attached Declaration correctly lists his name as Graeme D. Maxwell, and the file should be corrected accordingly, as provided by C.F.R. 1.48 (f) (1).

Authorization is given to charge the above-noted fees to Deposit Account No. 03-3325. If a fee is required for an extension of time under 37 C.F.R. § 1.136 not accounted for above, such an extension is requested and the fee should also be charged to our deposit account.

Respectfully submitted,

*Ronald J. Paglierani*

Ronald J. Paglierani  
Registration No.: 29,201  
Phone: (781) 280-9030

Date: MARCH 22, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents and Trademarks, Washington, DC 20231 on MARCH 22, 2002

Date of Deposit

Ronald J. Paglierani



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/007,826	11/09/2001	Douglas Graeme	UK01-012

**CONFIRMATION NO. 3592**

## FORMALITIES LETTER



"OC000000007351064"

Ron Paglierani  
 Corning Laserton MS902  
 Bedford, MA 01730

Date Mailed: 01/24/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Harriet Carlson

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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